

# **MUMBAI METRO RAIL CORPORATION LIMITED**

(A SPV JV of Govt. of India and Govt. of Maharashtra)



Expression of Interest (EOI)

For

Appointment of Independent Evaluation Agency  
for Evaluation of Implementation of the  
Rehabilitation Action Plan of  
Mumbai Metro Line-3

**Office of Executive Director (Planning)**  
**NaMTTRI Building, E-Block, Plot No.R-13,**  
**Bandra-Kurla Complex, Bandra (E), Mumbai- 400 051.**

Website: <https://www.mmrc.com>

Appointment of Independent Evaluation Agency for Evaluation of  
Implementation of the Rehabilitation Action Plan of Mumbai Metro Line-3

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# 1. Disclaimer

All information provided as a part of this document to the prospective applicants by the Mumbai Metro Rail Corporation Limited, is subject to the terms and conditions set out by Mumbai Metro Rail Corporation Limited and as shall be laid down in the agreement of contract to be executed between Mumbai Metro Rail Corporation Limited and the bidder.

The objective of this document is to provide prospective information to the interested parties about requirement of Mumbai Metro Rail Corporation Limited to facilitate their Application for the project. This document does not claim to contain all the information as may require at the time of execution of work. Each applicant is advised to conduct its own survey for commissioning the work and check the accuracy, reliability and completeness of the information in this EOI document and obtain independent advice from appropriate sources as deemed necessary. Mumbai Metro Rail Corporation Limited makes no representation or warranty and shall incur no liability under any law, statute, rules or regulations as to the accuracy, reliability or completeness of this document. Mumbai Metro Rail Corporation Limited may at their absolute discretion, but without being under any obligation to do so, update, amend or supplement the information in this document.

## 2. Notice of Expression of Interest



### **MUMBAI METRO RAIL CORPORATION LIMITED**

**(A SPV JV of Govt. of India and Govt. of Maharashtra)**

**NaMTTRI Building, Plot No. R-13, E-Block Bandra-Kurla Complex, Bandra (E),  
Mumbai- 400 051.**

### **EXPRESSION OF INTEREST**

Mumbai Metro Rail Corporation, SPV, JV of Government of India and Government of Maharashtra invites EoI from qualified & experienced agencies working in the field of resettlement policy analysis for the work “Appointment of Independent Evaluation Agency for Evaluation of Implementation of the Rehabilitation Action Plan of Mumbai Metro Line-3”. Detailed scope of work and eligibility criteria is available on the below mentioned web portal.

The agencies shortlisted based on their capacity and experience will be issued RFPs including ToR inviting them to submit detailed proposals. Interested agencies should submit EoI in hard copy to the Executive Director (Planning), MMRCL on the above address on or before Friday 15/01/2018 by 06.00 pm.

Pre-bid meeting: 10/01/2018 at 12:00 noon at MMRCL, NaMTTRI Building, Plot No. R-13, ‘E’- Block, Bandra Kurla Complex, Bandra (East), Mumbai 400051. Website: <https://www.mmrcl.com>

Date: 01/01/2018

- Sd -

Place: Mumbai

Executive Director (Planning)

## **3. Introduction**

### **3.1 About MMRCL & Project**

Mumbai Metro Rail Corporation Limited (MMRCL) SPV, JV of Government of India and Government of Maharashtra is executing Mumbai's first fully underground Metro corridor: Colaba-Bandra- SEEPZ Metro-3 with financial support of Japan International Corporation Agency (JICA). The corridor has been divided in seven civil packages and construction activities are in full swing in all packages. This 33.5 KM corridor will have 26 underground and one at grade station. The project gives utmost importance to the Rehabilitation and Resettlement of project affected families. Accordingly, a Resettlement Action Plan has been developed for implementation.

It aims to provide a Mass Rapid Transit System that would supplement the inadequate suburban railway system of Mumbai by bringing metro closer to the doorsteps of the people. With full-scale operations of MML-3, the city would witness significant reduction in traffic volumes and the local transit facility would get a boost. The MML-3 would bring multiple benefits such as comfortable Air conditioned travel for more than 16 lakh commuters daily, reduction in travel time, reduction in air & noise pollution, improving safety & security of the citizens etc. Upon completion, this fully underground corridor with 27 stations would connect 6 business districts, 30 educational institutes, 30 recreational facilities and domestic as well as international airport terminals. It will have five interchange points for suburban railway and one each for Monorail and 'Versova-Andheri-Ghatkopar' Metro-1.

As the project is a continuous corridor of 33.5 km spread through the entire length of Mumbai from Colaba to SEEPZ (Andheri/Jogeshwari), quite varying categories of structures/PAPs are effected to facilitate the project. The land is mainly required for route alignment of rail tracks, station buildings, platforms, entry/exit structures, traffic integration, depot/car shed, power sub-stations, ventilation shafts, administrative buildings and temporary construction depot and work sites etc. As a result of engineering efforts in optimising alignment and station designs; noted above the number of Project Affected Persons (PAPs) is 2807. The PAPs include residential units of families; Encroachments, formal/authorised structures with or without protected tenants, business/commercial units, industrial units and some public/community facilities/amenities etc. Till date MMRCL has rehabilitated over 1400 Project Affected Persons to the rehab colonies at Kurla Premier, Kurla; Chakala and Kanjurmarg Sites.

### **3.2 Objectives:**

- Verify results of internal monitoring
- Assess whether resettlement objectives have been met, specifically whether livelihoods and living standards have been restored or enhanced
- Assess resettlement efficiency, effectiveness, impact and sustainability, drawing lessons as a guide to future resettlement policy making and planning and
- Ascertain whether the resettlement entitlements were appropriate to meeting the objectives, and whether the objectives were suited to affect persons' conditions
- This comparison of living standards will be in relation to the baseline information available in the BSES. If some baseline information is not available, then such information should be collected on recall basis during the evaluation.

## **4. Scope of Work**

The scope of work for the Independent Evaluation agency has been divide into Generic and specific Scope of Works

### **4.1 Scope of work- Generic**

- To review and verify the progress in resettlement implementation as outlined in the Rehabilitation Action Plan (RAP).
- To monitor the effectiveness and efficiency of Social Development Cell (SDC) and the concerned agency in RAP implementation.
- To assess whether resettlement objectives, particularly livelihoods and living standards of the affected persons have been restored or enhanced
- To assess the efforts of SDC and concerned agency in implementation of the 'Community Participation strategy' with particular attention on participation of vulnerable groups namely (i) those who are below poverty line (BPL), (ii) those who belong to Scheduled Castes (SC) and Scheduled Tribes (ST), (iii) Women headed families, (iv) elderly and (v) disabled persons.
- To assess resettlement efficiency, effectiveness, impact and sustainability, drawing both on policies and practices and to suggest any corrective measures, if necessary.

## **4.2 Scope of work- Specific**

- Review pre-displaced baseline data on income and expenditure, occupational and livelihood patterns, arrangements for use of common property, social organization, community organizations and cultural parameters.
- To review and verify the progress in land acquisition/resettlement implementation of subproject on a sample basis and prepare reports for the EA.
- To evaluate and assess the livelihood opportunities and income as well as quality of life of affected persons of project induced changes.
- To evaluate and assess the adequacy and effectiveness of consultative process with affected persons, particularly those vulnerable, including the adequacy and effectiveness of grievance procedures and legal redress available to the affected parties and dissemination of information about these.
- Identify an appropriate set of indicators for gathering and analyzing information on resettlement impacts; the indicators shall include but not limited to issues like restoration of income and living standards and level of satisfaction by the PAPs in post-resettlement period. Review results of internal monitoring and verify claims through random checking at the field level to assess whether resettlement objectives have been generally met. Involve the PAPs, host population and community groups in assessing the impact of resettlement for monitoring and evaluation purposes.
- Conduct both individual and community level impact analysis through the use of formal and informal surveys, key informant interviews, focus group discussions, community public meetings, and in-depth case studies of APs and host population from various social classes to assess the impact of resettlement.
- Identify the strengths and weaknesses of basic resettlement objectives and approaches, implementation strategies, including institutional issues, and provides suggestions for improvements in future resettlement policy making and planning

## **4.2 Period of Contact**

The period of engagement is 4 years from the date of work order (extendable till project completion).

### **4.3 Time frame and Reporting**

The independent agency will be responsible for mid-term and end-term evaluation of the RAP implementation. The duration of RAP implementation is as per the RAP time schedule. The implementation schedule for the resettlement plan is scheduled as per the overall project implementation.

## **5. Eligibility Criteria**

- i. Bidder should be an agency registered under appropriate statute enabling it to provide the specified services.
- ii. The bidder should have minimum three years' experience in the field of resettlement policy analysis and RAP implementation. Further, work experience in Mumbai and familiarity with all aspects of resettlement operations would be desirable.
- iii. It should have experience in the field of resettlement policy analysis and RAP implementation for at least one urban infrastructure project for any Government Agency.
- iv. The agency should have individual experts in the field of:
  - a. Sociologist
  - b. Anthropologist
  - c. Economist
- v. Interested agencies should submit proposal for the work with a brief statement of the approach, methodology, staff strength, and relevant information concerning previous experience on monitoring and evaluation of resettlement and rehabilitation implementation and preparation of reports.
- vi. Agency should have its setup in Mumbai.

### **Expression of Interest should be supported with following documents:**

1. Application Form in the format given at Annexure-1.
2. General information of the organisation.
3. Core business areas of operation, number of years in the business, ownership and organizational structure of the Company.
4. Client references and brief details of the similar projects



## Expression of Interest for Independent Evaluation Agency

5. Audited financial statement for the last 3 years showing financial capability, of F.Y. 2014-15, 2015-16 and 2016-17, Turnover Certificate.
6. Technical proposal

The application form along with the accompanying documents should be submitted in a closed and sealed envelope duly super scribed with “Appointment of Independent Evaluation Agency for Evaluation of Implementation of the Rehabilitation Action Plan of Mumbai Metro Line-3” on or before Friday 15/01/2018 by 06.00pm addressed to:

Executive Director (Planning), MMRCL  
NaMTTRI Building, Plot No.R-13,  
E-Block Bandra-Kurla Complex,  
Bandra (E), Mumbai- 400 051

**Contact Person:**

Shri. Dikshant Meshram, Deputy Town Planner, MMRC  
Mob: 9970389014  
Email- dikshant.meshram@mmrcl.com

Shortlisting of agency will be done after scrutinizing/analysis of the pre-qualification documents and EOI. Only shortlisted agencies will be intimated by the MMRCL for participating in further tendering process. The MMRCL reserves the right to accept or reject any or all EOI without assigning any reason thereof.

## 6. Letter of Application

### Annexure I

(To be submitted with EOI Application by Applicant on Company Letter Head)

To

Executive Director (Planning)

Mumbai Metro Rail Corporation Limited

NaMTTRI Building, E-Block, Plot No.R-13,

Bandra-Kurla Complex, Bandra (E), Mumbai- 400 051

**Subject: Appointment of Independent Evaluation Agency for Evaluation of  
Implementation of the Rehabilitation Action Plan of Mumbai Metro Line-3**

**Reference: Notice for EOI No. \_\_\_\_\_ dated \_\_\_\_\_**

Sir,

With reference to the above invitation for Expression of Interest (EOI), we have examined and understood the instructions, terms and conditions provided in Invitation for EoI. We hereby enclose our EoI Application in the prescribed format as mentioned in Invitation for EoI.

We confirm that we agree with the instructions, terms and conditions provided in Invitation for EoI. The undersigned declares that the statements made and the information provided in the duly completed application are complete, true, and correct in very detail.

We also understand that Mumbai Metro Rail Corporation Limited is not bound to accept the offer either in part or in full. If the Corporation rejects the offer in full or in part, it may do so without assigning any reasons thereof.

Yours faithfully

Authorized Signatory

(Name, Designation & Seal of the firm)

Date: \_\_\_\_\_

Place: \_\_\_\_\_